

Attorney's Docket No.: 42390.P11265

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Gardner

Application No. 09/853,370

Filed: 05/11/2001

For: INTEGRATED TRANSFORMER

Examiner: Tuyen T. Nguyen

Art Unit: 2832

FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, V.A. 22313-1450 on 12/03/2003

Date

Carla Vignola

TO

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of

2832

RECEIVED  
DEC 11 2003  
2800 MAIL ROOM

the appropriate paragraph):

X      37 C.F.R. §1.97(b).

\_\_\_\_\_ 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

\_\_\_\_\_ A statement pursuant to 37 C.F.R. §1.97(e) or

\_\_\_\_\_ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

\_\_\_\_\_ 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

(1)    A statement pursuant to 37 C.F.R. §1.97(e); and

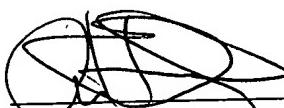
(2)    A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 12/2/03



Arlen M. Hartounian  
Reg. No. 52,997

12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025  
(408) 720-8300

*Complete if Known*

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Application Number	09/853,370
Filing Date	05/11/2001
First Named Inventor:	Gardner
Art Unit	2832
Examiner Name	Tuyen T. Nguyen

Sheet 1 of 2 Attorney Docket Number 42390.P11265

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (If known)			
	us-	6,441,715 B1	08-27-2002	Johnson	
	us-	6,291,305 B1	09-18-2001	Huang, et al.	
	us-	6,281,560 B1	08-28-2001	Allen, et al.	
	us-	6,240,621 B1	06-05-2001	Nellissen, et al.	
	us-	6,207,303 B1	03-27-2001	Tomita	
	us-	6,201,287 B1	03-13-2001	Forbes	TC
	us-	6,191,495	02-20-2001	Kossives, et al.	200
	us-	6,121,852	09-19-2000	Mizoguchi, et al.	100
	us-	6,114,937	09-05-2000	Burghartz, et al.	100
	us-	6,103,136	08-15-2000	Han, et al.	100
	us-	6,067,002	05-23-2000	Fujino, et al.	100
	us-	6,040,226	03-21-2000	Wojnarowski, et al.	100
	us-	6,037,649	03-14-2000	Liou	100
	us-	6,033,782	03-07-2000	Hubbard, et al.	100

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
	JP	61020311	01-29-1986	NIPPON TELEGR & TELEPH CORP.		
	/	EP 0 295 028 A1	12-14-1988	SCIENTIFIC GENERICS LTD.		
	/	EP 0 884 783 A2	12-16-1988	LUCENT TECHNOLOGIES, INC.		

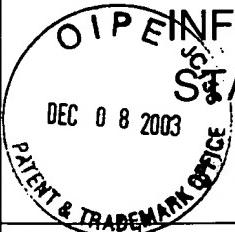
Examiner Signature	Date Considered
--------------------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioneer f r Patents, P.O. B x 1450, Al xandria, Virginia 22313-1450.

If you need assistance in compl ting the f rm, call 1-800-PTO-9199 (1-800-786-9199) and select pti n 2.

Based on Form PTO/SB/08A (08-03) as modified by BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP on 09/10/03.



# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(use as many sheets as necessary)*

DEC 8 2003

<i>Complete if Known</i>	
Application Number	09/853,370
Filing Date	05/11/2001
First Named Inventor:	Gardner
Art Unit	2832
Examiner Name	Tuyen T. Nguyen
All Issues Drafted At	10000 P112615

Sheet 2 of 2 Attorney Docket Number 42390.P11265

## **U.S. PATENT DOCUMENTS**

RECEIVED  
DEC 11 2003  
TC LIBRARY ROOM

Examiner Signature		Date Considered	
-----------------------	--	-----------------	--

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer.

Based on Form PTO/SB/08A (08-03) as modified by BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP on 09/10/03.